

# ON A GENERAL RULE FOR ACCESS CONTROL\* OR, SILENCE IS GOLDEN . . .

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## ABSTRACT

We consider the problem of multi-access control of a shared communication channel in a multi-hop Packet Radio Network. A decentralized notion of optimal channel-sharing is introduced and necessary conditions for optimal transmission policies are derived. These conditions possess an intuitively simple yet powerful interpretation of a balance principle. The optimality rules are very general and imply, as specific instances, a diverse class of known rules such as Abramson's optimal Slotted-Aloha and the optimal Urn scheme, previously introduced by the authors. Moreover, the rules lend themselves to a simple implementation of a class of decentralized hierarchical decision algorithms, which includes some Slotted-Aloha control algorithms that have previously been explored as well as new, though still unexplored, algorithms. The proposed algorithms decompose the problem into a short-term distributed decision problem of adapting transmission rights to local loads and the determination of long-range centralized policies to obtain global goals (e.g., priorities).

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## INTRODUCTION

### The environment and the model

In what follows we consider a multi-hop Packet Radio Network (PRNET) [1,2,3,4,5] whose member Packet Radio Units (PRUs),  $PR_1, PR_2, \dots, PR_N$ , all use a common radio communication channel to communicate with each other. Data packets are passed around the network in a hop-by-hop fashion until they reach their destinations. At each hop, a data packet is stored and then forwarded using a broadcast transmission over the common channel. Not all PRUs can hear each other due to range and topography limitations. The topology of channel sharing is specified in terms of a *hearing graph*  $H$ , whose  $i$ -th vertex represents  $PR_i$  and whose edges represent the existence of a communication link (i.e., a hop) between the respective PRUs. Note that we implicitly assume the hearing relation between PRUs to be symmetric. This assumption is not necessary for the analysis that we carry out, but it simplifies the computational details.

We assume that the communication channel is time-slotted, i.e., transmissions are synchronized to slots. The channel time slots may be slightly longer than a packet transmission time and possibly of variable length (i.e., to account for propagation delays and/or access control mechanisms) [5,6]. This assumption, while essential for the analysis carried out in this paper, does not present a severe limitation upon our approach and results, which can be generalized to non-slotted channels.

Packets arrive at the different PRUs from external, *bursty* [7,8], sources. They are stored and forwarded to their destinations, following some routing algorithm. For simplicity we assume that all packets are destined to a single sink and that the routing is fixed (at least over the time period of interest). Thus the routing is completely described by specifying for each PRU, say  $PR_i$ , its immediate next hop destination  $PR_{d(i)}$ . Again, the assumption of fixed routing may be easily replaced with a model of dynamic routing (e.g., by replacing the single destination function  $d(i)$  with a probability distribution over the immediate destinations [9]; this method may also be used to account for multiple destinations); however, it simplifies the computational model.

$PR_i$  is said to *interfere* with  $PR_j$  if  $PR_{d(j)}$  hears  $PR_i$ . Interference is a nonsymmetric binary relation upon the nodes of the PRNET. We shall use the notation  $I(j) = \{i \mid PR_{d(j)} \text{ hears } PR_i\}$  to denote the set of all PRUs that interfere with  $PR_j$ . We shall assume that when  $PR_j$  transmits a packet, it succeeds iff all PRUs in  $I(j)$  are silent. Again it is possible to include capture effects in the model at the price of some extra computational details.

With the above model, the PRNET is a large distributed service facility that shares the communication resource, i.e., channel slots. An *access scheme* is an algorithm to decide which *busy* PRUs (i.e., those having transmission-pending packets in their buffer) should have the right to transmit during the coming slot.

Let us define the space of all possible randomized actions that the PRNET may choose during each slot as  $A = [0,1]^N$  where an *action* (also *transmission policy*)  $p \in A$ ,  $p = (p_1, p_2, \dots, p_N)$  is a choice of a probability  $0 \leq p_i \leq 1$  for each  $PR_i$ . The



probability  $p_i$  is that of  $PR_i$  having a *transmission right* during the coming slot. A busy PRU tosses a coin with a transmission-bias  $p_i$  and transmits according to the result.

The objective of the decision mechanism is to maximize the rate of successful transmissions (i.e., those not interfered with) by selecting a proper transmission policy. Note that our model is general enough to describe any multi-access scheme to a shared, time-slotted channel. One scheme and another differ only in the method used for selecting a transmission policy.

### Decentralization of the objective

The key constraint to the decision problem that the PRNET faces is the absence of a centralized mechanism that is aware of the network state and that may decide and enforce the decision upon the network members.

There are a few possible approaches to the problem of decentralization. One possible approach is to retain the objective function, derive a centralized solution, and relax the result to enable decentralized implementation. In what follows we assume a dual approach. Namely, we retain the decentralization but relax the objective to enable a solution.

The idea is simple: if the community of PRUs is incapable of optimizing a centralized performance index, let us replace the objective with local, decentralized, objective functions. A natural choice for local performance measures is to let  $PR_i$  choose  $p_i$  so as to maximize his individual throughput. Formally, let  $\underline{b}^t = (\underline{b}_1^t, \underline{b}_2^t, \dots, \underline{b}_N^t)^T$  designate the occupancy process, that is,

$$\underline{b}_i^t \triangleq \begin{cases} 1 & \text{if } PR_i \text{ has a packet ready for} \\ & \text{transmission, at slot } t. \\ 0 & \text{otherwise.} \end{cases}$$

Let  $\pi^t(\underline{b})$  designate the distribution of  $\underline{b}^t$ .

We define the throughput process

$$\underline{s}_i^t \triangleq \begin{cases} 1 & \text{if } PR_i \text{ successfully delivers a packet} \\ & \text{to his destination at slot } t. \\ 0 & \text{otherwise.} \end{cases}$$

\*We use  $\tilde{a}$  and  $\bar{a}$  to denote a random variable and its mean, respectively. Vectors are underlined and sets are capitalized.



We can express the mean throughput of  $PR_i$ , conditioned upon  $\underline{b}^t = \underline{b}$ , as follows:

$$(1) \quad s_i^t(\underline{b}, \underline{p}) = E[s_i^t | \underline{b}^t = \underline{b}] = \begin{cases} p_i \prod_{j \in I_i(\underline{b})} (1-p_j) & \text{if } b_i = 1 \\ 0 & \text{if } b_i = 0 \end{cases}$$

where

$$(2) \quad I_i(\underline{b}) \triangleq \{j \mid j \neq i, b_j = 1 \text{ and } PR_j \text{ interferes with } PR_i\}$$

The expected throughput of  $PR_i$  at slot  $t$ , is given by

$$(3) \quad \bar{s}_i^t(\underline{p}) = \sum_{\underline{b} \in \{0,1\}^N} \pi(\underline{b}) s_i^t(\underline{b}, \underline{p})$$

where  $\pi^t(\underline{b})$  is the distribution of  $\underline{b}^t$ .

To simplify the notation we shall eliminate the time indexing  $t$  from all expressions whenever there is no danger of confusion. Equation (3) defines a continuous map  $\underline{S} = \underline{S}(\underline{p})$  of policies onto attainable throughputs at slot  $t$  (here  $\underline{S} = (\bar{s}_1, \bar{s}_2, \dots, \bar{s}_N)$ ). The hypercube of policies  $A = [0,1]^N$  is mapped onto a compact domain  $S = \underline{S}(A)$  of all attainable throughputs. We shall call the map  $\underline{S} = \underline{S}(\underline{p})$ : the *Abramson throughput operator*.

We shall consider  $\bar{s}_i(\underline{p})$  as the *payoff function* that  $PR_i$  attaches to the policy  $\underline{p}$ . The problem of centralized optimization is replaced with a decentralized reconciliation of the individual objective functions of community members. This is a typical problem of mathematical economics. We shall assume the standard tools thereof to derive a solution [10,11].

A throughput vector  $\underline{S}$  is called *Pareto optimal* iff

- a) it is *attainable*, i.e.,  $\underline{S} = \underline{S}(\underline{p})$  for some transmission policy  $\underline{p}$ .
- b) it is not dominated by any other throughput, i.e., there exists no attainable  $\underline{S}'$ , such that  $\underline{S}' > \underline{S}$ . (Here  $\underline{S}' > \underline{S}$  means that  $\forall i \bar{s}'_i \geq \bar{s}_i$ , with at least one strict inequality.)

A policy  $\underline{p}$  that obtains a Pareto-optimal throughput is called *Pareto-optimal policy*. Pareto-optimal policies are precisely those policies for which we cannot improve the payoff of one PRU without decreasing the payoff of some fellow PRUs.

With the model of the previous section and the decentralized notion of optimality introduced in this section, we are now ready to solve the problem of optimal decentralized policies. In the following section we characterize Pareto-optimal policies using some standard analysis. We then interpret the results in terms of a surprisingly simple rule of optimality.



## SILENCE IS GOLDEN... SO ALSO IS THROUGHPUT

Let us reconsider the Abramson map of the previous section:

$$(1) \underline{s} = \sum_{\underline{b} \in \{0,1\}^N} \pi(\underline{b}) \underline{s}(\underline{b}, \underline{p})$$

Consider a Pareto optimal policy  $\underline{p}^0$  obtaining a throughput  $\underline{s}^0 = \underline{s}(\underline{p}^0)$ . Let  $\underline{p}$  be an admissible transmission policy differing from  $\underline{p}^0$  by a small perturbation  $\Delta \underline{p} \triangleq \underline{p} - \underline{p}^0$ . If  $\underline{s}$  is the throughput obtained by  $\underline{p}$ , then  $\underline{s}$  differs from  $\underline{s}^0$  by a small perturbation  $\Delta \underline{s} \triangleq \underline{s} - \underline{s}^0$ . The conditional throughput  $\underline{s}(\underline{b}, \underline{p})$ , as given by equation (1) of the previous section, is a smooth function of  $\underline{p}$ . Therefore  $\Delta \underline{s}$  is related to  $\Delta \underline{p}$  through a linear transformation

$$(2) \Delta \underline{s} = \partial \underline{s} \Delta \underline{p}$$

described by the matrix

$$(3) \partial \underline{s} \triangleq \sum_{\underline{b}} \pi(\underline{b}) \frac{\partial \underline{s}(\underline{b}, \underline{p}^0)}{\partial \underline{p}} = \\ = \left[ \sum_{\underline{b}} \pi(\underline{b}) \frac{\partial s_i(\underline{b}, \underline{p}^0)}{\partial p_j} \right]_{ij}$$

which we call the *Jacobian matrix of the network*. The transformation described by (2) is a linear approximation of the nonlinear Abramson map near the Pareto optimal policy  $\underline{p}^0$ .

Let  $D(\underline{p}^0)$  denote the set of admissible perturbations of  $\underline{p}^0$ , i.e., those perturbations corresponding to feasible policies. Clearly,  $\underline{0} \in D(\underline{p}^0)$ . Moreover, if  $\underline{p}^0$  is an internal point of the set of admissible policies, then  $D(\underline{p}^0)$  contains a neighborhood of zero. If the Jacobian matrix (3) is non-singular, then the image of  $D(\underline{p}^0)$  under the map defined by the Jacobian matrix, i.e., the set of admissible perturbations of  $\underline{s}^0$ , contains a neighborhood of zero. This contradicts the extremality of  $\underline{s}^0$ . Therefore the Jacobian matrix of the network must be singular at  $\underline{p}^0$ .

What if  $\underline{p}^0$  is not an internal point of  $A$ ? If  $\underline{p}^0$  is internal to any face of the hypercube  $A$ , then it is possible to show that by properly restricting the Abramson operator to a subnetwork, the resulting operator must have a singular Jacobian matrix. The demonstration involves some lengthy combinatorial arguments that are of no interest for us. Therefore we shall proceed to derive necessary conditions for Pareto optimality assuming that  $\underline{p}^0$  is an internal point of  $A$ . The conditions that we derive may be easily verified to hold when  $\underline{p}^0$  is not internal to  $A$  and even when  $\underline{p}^0$  is an extreme point of  $A$ .



We conclude: If  $p^0$  is a Pareto-optimal policy obtaining a throughput  $\underline{s}^0$ , then the Jacobian determinant of the network (or a proper subnetwork) must be zero. That is,

$$(4) \quad 0 = \left| \sum_{\underline{b}} n(\underline{b}) \frac{\partial s_i(\underline{b}, p^0)}{\partial p_j} \right|$$

The generic elements of the Jacobian are easily computed to be

$$(5) \quad \underline{s}_{ij} \hat{=} \begin{cases} \frac{E_i}{1-p_i} & i=j \\ \frac{S_{i/j}}{1-p_j} & i \neq j \end{cases}$$

where

$$E_i \hat{=} \sum_{\{\underline{b} \mid b_i=1\}} n(\underline{b})(1-p_i) \prod_{j \in I_i(\underline{b})} (1-p_j)$$

is the expected number of slots that are empty at the destination of  $PR_i$  given that it is busy, and

$$S_{i/j} = \begin{cases} \sum_{\{\underline{b} \mid b_i=b_j=1\}} n(\underline{b}) p_i \prod_{k \in I_i(\underline{b})} (1-p_k) & \text{if } j \text{ interferes with } i \\ 0 & \text{if } j \text{ does not interfere with } i \end{cases}$$

is the expected number of successful packets that  $PR_i$  delivers given that  $PR_j$  is busy and that  $PR_j$  interferes with  $PR_i$ ; it is 0 otherwise.

Let us consider a typical one-hop network (in which all PRUs hear each other). In this case the optimality condition (4) may be expressed as

$$(6) \quad 0 = \left| \partial \underline{s} \right| = \begin{vmatrix} E_1 & -S_{1/2} & -S_{1/3} & \dots & -S_{1/N} \\ -S_{2/1} & E_2 & -S_{2/3} & \dots & -S_{2/N} \\ -S_{3/1} & -S_{3/2} & E_3 & \dots & -S_{3/N} \\ \dots & \dots & \dots & \dots & \dots \\ -S_{i/1} & -S_{i/2} & \dots & E_i & \dots & -S_{i/N} \\ \dots & \dots & \dots & \dots & \dots & \dots \\ -S_{N/1} & -S_{N/2} & \dots & \dots & \dots & E_N \end{vmatrix}$$



## APPLICATIONS

### The ecology of channel sharing

The optimality conditions of the previous section represent the rational behavior of a resource-sharing network community. If the users are too rude, the common resource may be polluted with collisions. If the users are too polite, they may leave much of the resource under-utilized. Pareto-optimal policies make the best expected use of the channel in the sense that every slot wasted in silence by one PRU is, on the average, utilized by another. The pollution of the channel by collisions or its under-utilization during some slots is not the result of imprudent network behavior but rather the consequence of the statistical fluctuations of the service demands. Pareto-optimality thus represents maximal prudence in the face of lady luck.

The optimality conditions are expressed in terms of a set of Lagrangian multipliers that we interpreted in the previous section as throughput prices. For each Pareto-optimal policy there exists a price vector  $\underline{p}$  for which "silence" equals "throughput" at each network node. Looked at in another way, it is possible to select a price vector and consider Pareto-optimal policies corresponding to that pricing policy. By properly choosing a pricing policy for the network, we may approximate global objectives through the decentralized optimization mechanism.

For instance, let us consider an extreme case of dynamic price adjustment whereby a set of PRUs is selected during each slot to have infinite cost, while all other PRUs are assigned a zero cost. By properly selecting the PRUs whose cost is infinite, a perfect slot-by-slot scheduling of transmissions is obtained. This merely hypothetical scheme is meant only to illustrate the potential power of dynamic pricing.

In the absence of a centralized scheduling mechanism, it is still possible to adapt the pricing to the long-range statistics of the network state and/or global priorities. The decomposition of the decision mechanism to local individual decisions (i.e., adjustment of individual transmission policies to balance "silence" with "throughput") and to a global pricing mechanism generates a useful control hierarchy. Short-term decisions requiring rapid adaptation are arrived at by local PRUs, while long-range, global adaptation is decided by higher (more coordinated) mechanisms (e.g., through pricing).

### Optimal Slotted-ALOHA policies

Let us consider the case of a one-hop PRNET where the transmission policy is fixed. If the queuing system is to be stable, then it should be able to properly handle a *heavy traffic condition*. We shall employ our general optimality conditions to derive the heavy-traffic results of Abramson [3]. The heavy-traffic condition may be expressed in terms of the occupancy distribution. Namely,  $\pi(\underline{b}) = \delta(\underline{b}, \underline{1})$ , where  $\underline{1}$  is the vector all of whose coordinates are 1 and  $\delta(\underline{b}, \underline{1})$  is Dirac's delta distribution, concentrated on the occupancy-state  $\underline{1}$ .

If all PRUs are identical, then we may apply the optimality condition expressed by equation (7) of the previous section. That is, "silence" is equal to  $(1-p)^N$  and "throughput" equals  $(N-1)p(1-p)^{N-1}$ . The two expressions are equal to each other iff  $p=1/N$ , which is an instance of Abramson's optimality conditions. If the PRUs are not identical, the more general condition  $p_1 + p_2 + \dots + p_N = 1$  may be obtained if we note that the Jacobian determinant of the network reduces to the Jacobian determinant obtained by Abramson [3] (Abramson's  $G_i$  correspond under heavy traffic to  $p_i$ ).



In particular, consider the symmetric case when all PRUs are identical; the determinant becomes (after some easy algebra)

$$|\partial S| = [\hat{E} - (N-1)\hat{S}] [\hat{E} + \hat{S}]^{N-1}$$

where  $\hat{E} \triangleq E_1 = E_2 = \dots = E_N$  and  $\hat{S} \triangleq S_{i/j}$  for all  $i$  and  $j$  ( $i \neq j$ ).

This expression is zero iff

$$(7) \quad \hat{E} = (N-1)\hat{S}$$

The left-hand side represents the expected number of slots that a busy PRU leaves empty at his destination; we shall call this expression *silence*. The right-hand side represents the amount of throughput, produced by the rest of the network, that a busy PRU sees. We shall call this last expression *throughput*. Thus, a necessary condition for an optimal selection of transmission policies is that each PRU equate "silence" with "throughput".

We conclude for the symmetric one-hop PRNET that if a policy is Pareto-optimal, then each busy PRU trades the slots that he wastes in silence for an equal number of slots successfully used by all those PRUs that may be harmed by his transmission.

Let us return to the determinant of the general network (4). If it is to be zero, there should be a linear combination of its rows that yields a zero vector. Let us denote the coefficients of such a linear combination  $\underline{c} = (c_1, c_2, \dots, c_N)$ . The optimality condition

$$c_1 E_1 = \sum_{\{j | 1 \in I(j)\}} c_j S_{j/1}$$

$$c_2 E_2 = \sum_{\{j | 2 \in I(j)\}} c_j S_{j/2}$$

⋮  
⋮  
⋮

$$c_N E_N = \sum_{\{j | N \in I(j)\}} c_j S_{j/N}$$

may be interpreted as follows. Each PRU  $PR_i$  is endowed with a slot dollar cost  $c_i$  reflecting his relative significance in the network. The left-hand side of each expression represents the value of silence of the respective  $PR_i$ . The right-hand side represents the value of success for the busy PRUs whose transmission  $PR_i$  may harm, given that  $PR_i$  is busy. The optimality condition requires that each PRU, once busy, should consider the needs of his fellow PRUs. He should be ready to trade his silence for an equivalent dollar-worth amount of their throughput, by selecting his transmission policy to balance the two quantities.



Equating the two quantities we find that  $k$  should satisfy

$$\frac{1}{n-1} = \frac{k}{N-k-n+1}$$

from which follows

$$k = \frac{N-n+1}{n}$$

This is precisely the optimal choice of  $k$  for the urn scheme as given by equation (14) of section 2.2.2 in [12]. Therefore, a busy PRU should select  $k$  so as to equate his expected silence with the throughput of others.

## DECENTRALIZED ALGORITHMS TO OPTIMIZE TRANSMISSION POLICIES

The characterization of optimal transmission policies, obtained in the previous section, may serve as a basis for a set of distributed access control algorithms. The algorithms are quasi-static in the sense of [14]. The decision making is completely decentralized. The information required for a decision is available to each PRU, at no extra cost, through the acknowledgment mechanism.

The algorithms consist of a decentralized iterative process that tries to balance "throughput" and "silence". The problem is essentially that of solving a large stochastic system of balance equations through gradient iterations. The details of the algorithms, as well as the problems of convergence, are beyond the scope of this paper and will be presented in the future.

Let us recall the optimality rule: For each  $PR_i$

$$(1) \hat{E}_i = \hat{S}_i$$

where  $\hat{E}_i$  is the expected dollar-value of empty slots at the destination of  $PR_i$ , when  $PR_i$  is busy.  $\hat{S}_i$  is the expected dollar-value of the throughput of those PRUs with which  $PR_i$  may interfere when it is busy.

The algorithm to implement the rule (1) consists of

1. *Estimation* Each PRU gathers acknowledgments statistics during his busy periods. We assume that both successful packet deliveries and collisions are acknowledged.  $PR_i$  can monitor the acknowledgments sent by all PRUs that he may hear. Therefore  $PR_i$  observes  $\tilde{S}_j^i$  for all  $PR_j$  such that  $i \in I(j)$ , from which he may compute  $\tilde{S}_j^i = \sum c_j^i \tilde{S}_j^i$ , where the summation extends over all  $PR_j$  with which  $PR_i$  may interfere ( $i \in I(j)$ ). The conditional expectation of  $S_j^i$  given that  $PR_i$  is busy, is precisely the "throughput"



## The optimal URN-Scheme

Let us consider the optimal Urn-scheme developed in [12,13]. We consider a one-hop PRNET in which each member is aware, at each slot, of the total number  $n$  of busy PRUs (or an estimate of  $n$ ). Of all the PRUs,  $k$  are selected to have transmission rights, while the rest keep quiet. Each PRU selects the same  $k$  lucky PRUs by drawing  $k$  numbers from a pseudo-random number generator. All PRUs use the same generator and the same seed (which may be a simple time function), thus deciding on identical  $k$  "lucky" PRUs. Therefore, the selection of the  $k$  PRUs is decentralized and randomized, yet coordinated. The urn-scheme provides for a smooth adaptivity to the load by adjusting the value of  $k$  as a function of  $n$ .

The name "urn-scheme" derives from the analogy to the problem of sampling  $k$  balls from an urn containing  $n$  black (for busy) balls and  $N-n$  white (for idle) balls. The objective is to choose  $k$  to maximize the probability that the sample contains exactly one black ball. The optimal Urn-scheme selects the value of  $k$  to be  $\lfloor N/n \rfloor$ .<sup>\*</sup> For instance, when  $n=1$ , all  $k=N$  PRUs are given the right of transmission (but only one will actually transmit); when  $n$  approaches  $N$  (i.e., heavy-traffic),  $k$  approaches 1, i.e., only one PRU is given the right of transmission (due to the heavy load, it is very likely to be a busy PRU). It was shown in [12,13] that the urn-scheme converges to optimal Slotted-ALOHA for light traffic and to Time-Division-Multiple-Access for heavy traffic, while outperforming both for a medium load.

In [13] we have described one possible implementation of the urn-scheme. We shall now see that the optimal value of  $k$ , selected by the urn-scheme, is the very value of  $k$  that satisfies the optimality conditions of the previous section.

We consider the decision making process from the point of view of a given busy PRU. "Silence" occurs if all  $k$  owners of transmission rights happen to be other nonbusy PRUs. The probability that this occurs, given that our PRU is busy, is

$$E_1 = \frac{\binom{N-k-1}{n-1}}{\binom{N-1}{n-1}}$$

The probability of a successful use of a slot by another PRU, given that our designated PRU is busy, is given by

$$S_1 = \frac{\binom{k}{1} \binom{N-k-1}{n-2}}{\binom{N-1}{n-1}}$$

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<sup>\*</sup> Here  $\lfloor x \rfloor$  is the integer part of  $x$ .



that we wish to estimate. The estimation of the last parameter can follow standard methods for estimating point processes [15].

Similarly, "silence" can be estimated by monitoring the acknowledgements sent by  $PR_{d(i)}$  (in fact, by monitoring slots in which  $d(i)$  does not acknowledge anything). Again the problem is that of estimating the conditional expected value of an observed point process.

If only successful packets are to be acknowledged, then  $E_i$  may be estimated from the unconditional expected silence at  $PR_{d(i)}$  to be monitored by the latter. If the acknowledgement mechanism is not collision free, further sophistication must be introduced into the estimation mechanism.

2. *Adaptation* Here the rule is simple: if  $\hat{E}_i > \hat{S}_i$ , then  $PR_i$  knows that he wastes too many slots, which nobody else uses anyway, in silence.  $PR_i$  will increase  $p_i$ . If  $\hat{E}_i < \hat{S}_i$  then, by the same token,  $PR_i$  knows that he is talking too much, preventing fellow PRUs from getting a fair portion of the channel.  $PR_i$  should decrease  $p_i$ .

There is only one problem: the rule (1) is necessary but insufficient. For example, the rude policy  $\underline{p} = (1, 1, \dots, 1)$  satisfies the rule independently of the input structure giving  $E_j = S_j = 0$ . Clearly, our algorithm may lead the network to choose this policy even when the results are disastrous. We have to design some precautionary measures to prevent our algorithm from converging to the rude policy when it should not.

The required modifications are simple: Each PRU monitors his own throughput. If, as a result of an increase in  $p_i$ ,  $PR_i$  watches his throughput dropping, he knows that he may have increased it beyond the optimal value. The natural response is to decrease the value of  $p_i$ .

The above control mechanism may be implemented in a similar fashion to the control mechanisms presented by L. Kleinrock and M. Gerla in [16,17]. Moreover, when the traffic becomes heavy the algorithm will be an implementation of Abramson's optimality criteria. Thus, it will become identical to the mechanisms of [16,17].

In a similar fashion we may use the optimality rule to implement a version of the Urn scheme. Indeed, as demonstrated in the previous section, the optimal selection of  $k$  (the number of PRUs possessing a transmission right) satisfies the optimality rule. Therefore,  $k$  may be adjusted using the information acquired from the acknowledgment traffic only. The algorithm to implement the scheme consists of two parts similar to those above

1. *Estimation* same as before.
2. *Adaptation* If  $\hat{E}_i < \hat{S}_i$ , then using the expressions for  $\hat{E}_i$  and  $\hat{S}_i$ , given in the previous section, we find that

$$\frac{N-n+1}{n} < k$$

That is,  $k$  is too large;  $PR_i$  should lower his estimate of  $k$ . Similarly, if  $\hat{E}_i > \hat{S}_i$ ,  $PR_i$  should increase his estimate of  $k$ .



By combining the above decentralized decision mechanism with a higher-order pricing mechanism, it is possible to establish priority mechanisms over the network. This possibility of establishing a family of hierarchical resource-sharing mechanisms is an appealing subject for further research and experiments. Further work is also required to develop the details of the estimation and adaptation mechanisms, prove the convergence of the algorithms, compare their performance in the context of one-hop systems with that of known control schemes [4, 12, 13, 16, 17, 18, 19,], and test them in a multi-hop environment.

## CONCLUSIONS

We have seen that Pareto-optimality provides an excellent norm for rational decentralized resource-sharing. Using this criterion, we have derived an intuitively simple, yet powerful, rule-of-thumb for optimal, decentralized, multi-access control. Not only does the optimality rule encompass previous results (e.g., optimal Slotted-ALOHA and the optimal Urn Scheme), but it also enhances our understanding of proper hierarchical, decentralized, channel-allocation policies. Moreover, it yields a class of simple distributed decision mechanisms to implement the optimal policies. Further research is required to explore the stability of the proposed access-control mechanisms and to apply our methods to solve other problems of decentralized resource-sharing in computer communication networks.

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